

**SUPREME COURT OF ARIZONA
FIDUCIARY BOARD**

**IN THE MATTER OF FIDUCIARY
LICENSE:**)
)
)
ALEX HARRIS)
License Number [REDACTED])
)
)

No. FID-NFC-25-0008

FINAL ORDER

On October 2, 2025, the Fiduciary Board (“Board”), pursuant to Arizona Code of Judicial Administration (“ACJA”) §7-201(H)(10), filed a Notice of Formal Statement of Charges and Right to Hearing in the above-captioned matter with the Presiding Disciplinary Judge, the Honorable Lisa A. Vandenberg.

On October 5, 2025, Alex Harris (“Harris”), was formally served the Notice of Formal Statement of Charges and Right to Hearing, attached as Exhibit A. On October 14, 2025, Harris timely filed an Answer to Notice of Formal Statement of Charges and Right to Hearing and Affirmative Defenses and filed a Request for Hearing, pursuant to ACJA §7-201(H)(11) and (13). A hearing was set for December 5, 2025.

On December 31, 2025, the Honorable Lisa A. Vandenberg filed a Report and Recommendation in complaint number CLD-FID-2025-004 (Complaint No. FID-NCF-25-0008), attached as Exhibit B]. Pursuant to Arizona Code of Judicial Administration (“ACJA”) § 7-201(H)(22)(d), the Board may adopt, reject or modify the Hearing Officer’s recommendation in whole or in part.

The Board holds the authority to proceed with this action pursuant to ACJA §§7-201(D)(5)(c) and 7-201(H)(9).

JURISDICTION

1 Pursuant to ACJA §7-201(D)(1)(a) the Arizona Supreme Court (“AOC”), is responsible
2 for administering the Fiduciary Program and for adopting rules for the implementation and
3 administration of all certification programs, including minimum qualifications, certification and
4 disciplinary processes and a code of conduct.
5

6 Pursuant to ACJA §7-201(D)(5)(c)(1)(g) and ACJA §7-202(D)(5), the AOC’s regulatory
7 boards make all final decisions on the disposition of a complaint.

8 Pursuant to ACJA §7-201(H)(10), the Board formally served Notice of Formal Statement
9 of Charges and Right to Hearing to Harris, on October 5, 2025. The Board has jurisdiction over
10 this matter as Harris was licensed as an individual fiduciary on September 6, 2018, and her
11 license was renewed without interruption and remains active through the current licensure period.
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13 Pursuant to ACJA §7-201(H) and ACJA §7-202(H), the matter was investigated, and
14 Harris was provided an opportunity to respond to the complaint, participate in the investigation
15 of the complaint, file an Answer to the Notice of Formal Statement of Charges, and request a
16 hearing.

PROCEDURAL HISTORY

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18 A. On March 6, 2025, the Division received a written complaint from Adult
19 Protective Services Investigator Christopher McCollough (“McCollough”) involving
20 Harris.

21 B. On March 24, 2025, the Division, via United States Postal Services certified mail
22 and by email to a valid point of contact, forwarded Harris a copy of the complaint and
23 notice of the ACJA §7-201(H)(3)(c) requirement she submits a written response to the
24 complaint within 30 days. Division records confirm delivery of the mailing on April 1,
25 2025.

1 C. Harris provided a timely written response to the complaint on April 9, 2025, as
2 required by ACJA §7-201(H)(3)(c).

3 D. The Division’s investigation addressed the complaint allegations that:

- 4 1. Alex Harris is neglecting the ward’s medical needs by failing to schedule or
5 follow up with necessary medical appointments or treatment.

6 E. On September 7, 2025, pursuant to ACJA §7-201(H)(5)(a)(3), Probable Cause
7 Evaluator Jeffrey Schrade entered a finding probable cause exists as to Allegation 1 as
8 detailed in the Investigation Summary, Determination, Probable Cause Review and
9 Recommendation Report (“Investigation Summary”), in complaint number 25-0008.
10 Included in Exhibit A.. The particular sections of laws, court rules, ACJA, and orders
11 relevant to the alleged acts of misconduct are ACJA §§ 7-201(F)(1), 7-201(H)(6)(a) and
12 (k)(7); ACJA §§7-202(F)(1), 7-202(J)(2), 7-202(J)(4)(m) and (q); and A.R.S. §14-
13 5312(A)(9).

14
15 F. On October 5, 2025, Harris was formally served with a Notice of Formal Statement of
16 Charges and Right to Hearing in complaint number 25-0008. Harris timely requested a hearing
17 which was scheduled on December 5, 2025.

18 **FINDINGS OF FACTS**

19 The Board adopts the Findings of Fact in Exhibit B as the Findings of Fact in this matter.

20 **CONCLUSIONS OF LAW**

21 The Board adopts the Conclusions of Law contained in Exhibit B as the Conclusions of
22 Law in this matter.

23 **FINAL DECISION and ORDER**

24 Having adopted the above-referenced findings and conclusions, the Board orders the
25 following disciplinary sanction in complaint number 25-0008:

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